Atty Docket No. 018757-000420US

PTO FAX NO.:

(703) 872-9306

ATTENTION:

Appeal Briefs - Patents/Examiner Thomas J. Magee

Group Art Unit 2811

OFFICIAL COMMUNICATION FOR THE PERSONAL ATTENTION OF

Appeal Briefs - Patents/EXAMINER Thomas J. Magee

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Chun-Mai Liu, Application No. 09/827,056, filed April 3, 2001 for METHOD OF FABRICATING HIGH-COUPLING RATIO SPLIT GATE FLASH MEMORY CELL ARRAY is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal (in dupl.);
- 3. Petition to Extend Time (in dupl.);
- 4. Appellants' Brief Under 37 CFR 1.192 (in trip., 63 pgs).

Number of pages being transmitted, including this page: 69

OFFICIAL

APR 1 9 2004

Dated: April 19, 2004

Diane Elzingre

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (415) 576-0300

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111-3834 Telephone: 650-326-2400

Fax: 650 326-2422

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PTO/SB/21 (08-03)

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TRANSMITTAL			ation Number				
FORM		Filing Date		April 3, 2001			
			Named Inventor	Liu, Chun-Mai			
(to be used for all correspondence after initial filing)		Art Unit		2811			
·			iner Name	Thomas J. Magee			
Total Number of Pages in This Submission!			ey Docket Number	018757-004600US			
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Fee Transmittal Form	☐ Drawin	g(\$)		After Allowance Communication to Group			
Fee Attached	Licens	ing-relate	ed Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply	Petition	ו		Appeal Communication to Group (Appeal Brief - in triplicate)			
After Final		n to Conv onal App		Proprietary Information			
			ey, Revocation espondence Address	Status Letter			
Extension of Time Request			imer	Other Enclosure(s) (please identify below):			
Express Abandonment Request			fund CD(s)	Appeal Brief			
Information Disclosure Statement							
Certified Copy of Priority Document(s) Rema			The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				
Response to Missing Parts/ Incomplete Application Please ma		ke the er	dosed of record.	P			
Response to Missing Parts under 37 CFR 1.52 or 1.53							
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Individual Richard 1. Ogav	The Pickard T. Dagwa Per No. 37 609						
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FEE TRANSMITTAL	Complete if Known							
for FY 2004					09/827,056			
					April 3, 2001			
Effective 10/01/2003. Patent fees are subject to annual revision.		First Named Inventor			Liu, Chun-Mai			
Applicant claims small entity status. See 37 CFR 1.27		Examiner Name. Ti			Thomas J. Magee			
	Art Unit			2811				
TOTAL AMOUNT OF PAYMENT (\$) 440	Attorney	Docket	No.	018757	7-004600US			
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Effective 10/01/2003. Parent fees are subject to annual revision	First Named Inventor			Liu, Chun-Mai			<u> </u>	
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name Tho			Thoma	Thomas J. Magee			
	Art Unit	1		2811]	
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SUBMITTED BY				Т	Comp	lete (# applicable)		
Name (Print/Type) Ricoard T. Ogawa Registration No. (Atto	nı) 3	7.692		Telephone	650-326-2400			
1/2-/					Date	Aliblack		
Signature	*			matlen the		77 (7/10)		

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PETITIO	N FOR EXTENSION OF T	TIME UNDER 37 CFR 1.136(a)	Docket Number (Optional) 018757-004600US
		In re Application of Chun-Mai Liu e	et al.
. ;		Application Number 09/827,056	Filed April 3, 2001
į 	·	FOR METHOD OF FABRICATIN FLASH MEMORY CELL AF	G HIGH-COUPLING RATIO SPLIT GATE RRAY
		Art Unit 2811 E	xaminer Thomas J. Magee
applicatio	n.		eriod for filing a reply in the above identified
The reque	ested extension and appropri	ate non-small-entity fee are as follow	
:	☑ One month (37 CFR)	1.17(a)(1))	\$110 _ ·
. !	☐ Two months (37 CFI	R 1.17(a)(2))	S
·	☐ Three months (37 C	FR 1.17(a)(3))	\$
	☐ Four months (37 CF	R 1.17(a)(4))	\$
	Five months (37 CF)	R 1.17(a)(5))	\$
	Applicant claims small entit by one-half, and the resulting	y status. See 37 CFR 1.27. Therefing fee is: \$.	ore, the fee amount shown above is reduced
	A check in the amount of th	e fee is enclosed.	
	Payment by credit card. Fo	rm PTO-2038 is attached.	•
	The Director has already be	een authorized to charge fees in this	s application to a Deposit Account.
⊠.	The Director is hereby auth Deposit Account Number 2		y be required, or credit any overpayment, to
	I have enclosed a duplicate	copy of this sheet.	
I am th	e 🔲 applicant/invento	г,	
, , ,		f the entire interest. See 37 CFR 3 r 37 CFR 3.73(b) is enclosed. (For	
	attorney or agent of	record., Registration Number 37.6	92
	attorney or agent un	der 37 CFR 1.34(a).	
'	Registration number if ac	ling under 37 CFR 1.34(a)	
WAR on th	NING: Information on this tis form. Provide credit can	form may become public. Credit d information and authorization of	card Information should not be included on PTO-2038.
-	Date Date		Signature
	55.5		Richard T. Ogawa, Reg. No. 37,692
'			Typed or printed name
			,
	atures of all line inventors or assignee nature is required, see below.	s of record of the entire interest or their repret	sentative(s) are required. Submit multiple forms if more
□ *Total	offorms are submitted.		

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ETITIO	N FOR	EXTENSION	F TIME UNDER 37	CFR 1.136(a)	Docket Number (Optional) 118757-004600US	
- i			In re Application o	f Chun-Mai Liu et a	al.	
			Application Number		Filed April 3, 2001	
			For METHOD C		HIGH-COUPLING RATIO	SPLIT GATE
1			Art Unit 2811		miner Thomas J. Magee	
his is a r pplicatio		under the provisi	ons of 37 CFR 1.136(a)	to extend the period	od for filing a reply in the at	ove identified
he reque	ested ex	stension and app	opriate non-small-entity	fee are as follows	(check time period desired	I):
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•		Two months (37	CFR 1.17(a)(2))		\$	
1		Three months (37 CFR 1.17(a)(3))		\$	
1		Four months (3	7 CFR 1.17(a)(4))		\$	
!			7 CFR 1.17(a)(5))		\$	
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			i, Form PTO-2038 is at			
	The [)irector has alrea	dy been authorized to c	harge fees in this a	application to a Deposit Acc	ount.
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I am th	ne 🗌	applicant/in	entor.		<i>→</i>	
. ;		assignee of rec Statement	ord of the entire interes under 37 CFR 3.73(b) is	t. See 37 CFR 3.7 s enclosed. (Form	1 PTO/SB/96).	
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